

Annual CPNI Certification  
47 C.F.R. §64.2009(e)  
EB Docket No. 06-36

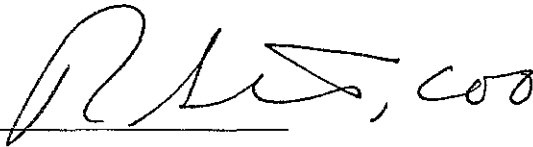
Name of Company: IP Retail, Inc.  
Form 499 Filer ID: 826752  
Name of Signatory: Randi Swatt  
Title of Signatory: Chief Operating Officer

I, Randi Swatt, hereby certify that I am an officer of IP Retail, Inc. ("IP Retail") and that I am authorized to make this certification on behalf of IP Retail. I have personal knowledge that IP Retail has established operating procedures that are adequate to ensure compliance with the Commission's CPNI rules, to the extent that such rules apply to IP Retail or to any of the information obtained by IP Retail. *See* 47 C.F.R. §64.2001 *et seq.*

Attached to this certification is an accompanying statement explaining IP Retail's procedures to ensure that it complies with the requirements set forth in §64.2001 *et seq.* of the Commission's rules to the extent that such requirements apply to IP Retail or to the information obtained by IP Retail.

IP Retail has not taken any actions against data brokers before state commissions, state or federal courts, or the FCC in the past year. IP Retail has not received any customer complaints in the past year concerning the unauthorized release of CPNI. IP Retail has no information, other than information that has been publicly reported, regarding the processes that pretexters are using to attempt to access CPNI.

Signed: \_\_\_\_\_



Date: \_\_\_\_\_

2/25/09

## **IP Retail, Inc.**

### **STATEMENT OF POLICY REGARDING CUSTOMER PROPRIETARY NETWORK INFORMATION**

In accordance with Section 222 of the Communications Act and the Federal Communications Commission's ("FCC") CPNI Rules (47 C.F.R. Section 64.2001, *et seq.*), IP Retail, Inc. ("IP Retail") files this Statement of Policy outlining the Company's procedures for accessing, using and storing Customer Proprietary Network Information ("CPNI").

IP Retail provides interconnected VoIP services to retail customers. Therefore, because IP Retail may access, use, or store CPNI when providing this type of service, the Company undertakes the steps outlined in this Statement of Policy to protect CPNI from unauthorized access or misuse.

#### **Definition of CPNI**

Under federal law, CPNI is certain customer information obtained by a telecommunications provider during the course of providing telecommunications services (including interconnected VoIP) to a customer. This includes information relating to the quantity, technical configuration, type, destination, location, and amount of use of a telecommunications service subscribed to by any customer of a telecommunications carrier.

Examples of CPNI include information typically available from telephone-related details on a monthly bill such as the types of services purchased by a customer, numbers called, duration of calls, directory assistance charges, and calling patterns. CPNI does not include names, addresses, and telephone numbers, because that information is considered subscriber list information under applicable law.

#### **Use of CPNI**

It is the policy of IP Retail not to use CPNI for any activity other than as permitted by applicable law. Any disclosure of CPNI to other parties (such as affiliates, vendors and agents) occurs only if it is necessary to conduct a legitimate business activity related to the services already provided by IP Retail to the customer. If IP Retail is not required by law to disclose CPNI or if the intended use is not otherwise permitted under FCC Rules, the Company will first obtain the customer's consent prior to using or sharing CPNI.

IP Retail follows industry-standard practices to prevent unauthorized access to CPNI by a person other than the subscriber or Company. However, IP Retail cannot guarantee that these practices will prevent every unauthorized attempt to access, use, or disclose personally identifiable information.

#### **CPNI Notification**

IP Retail notifies customers immediately of any account changes, including address of record, authentication, online account and password related changes.

### **Employee Training Policies**

All employees of IP Retail will be trained as to when they are, and are not, authorized to use CPNI.

Specifically, IP Retail prohibits its personnel from releasing CPNI based upon a customer-initiated telephone call except under the following three (3) circumstances.

- 1) When the customer has pre-established a password;
- 2) When the information requested by the customer is to be sent to the customer's address of record; or
- 3) When IP Retail calls the customer's telephone number of record and discusses the information with the party initially identified by customer when service was initiated.

### *Disclosure to Business Customers*

IP Retail may negotiate alternative authentication procedures for services that the Company provides to business customers that have a dedicated account representative and a contract that specifically addresses the protection of CPNI.

### **Disciplinary Procedures**

IP Retail has informed its employees and agents that it considers compliance with the Communications Act and FCC Rules regarding the use, disclosure, and access to CPNI to be very important.

Violation by company employees or agents of such CPNI requirements will lead to disciplinary action (including remedial training, reprimands, unfavorable performance reviews, probation, and termination), depending upon the circumstances of the violation (including the severity of the violation, whether the violation was a first time or repeat violation, whether appropriate guidance was sought or received from a supervisor, and the extent to which the violation was or was not deliberate or malicious).

### **Use of CPNI in Sales and Marketing Campaigns**

IP Retail does not use CPNI in any marketing campaigns.

However, if IP Retail does use CPNI in marketing campaigns, the Company will maintain a record of all sales and marketing campaigns that use the CPNI. The record will include a description of each campaign, the specific CPNI that was used in the campaign, and what products and services were offered as part of the campaign.

IP Retail will also implement a system to obtain prior approval and informed consent from its customers in accordance with the CPNI Rules. This system will allow for the status of a customer's CPNI approval to be clearly established prior to the use of CPNI.

Prior to commencement of a sales or marketing campaign that utilizes CPNI, IP Retail will establish the status of a customer's CPNI approval. The following sets forth the procedure that will be followed by the Company:

- Prior to any solicitation for customer approval, IP Retail will notify customers of their right to restrict the use of, disclosure of, and access to their CPNI.
- IP Retail will use opt-in approval for any instance in which the Company must obtain customer approval prior to using, disclosing or permitting access to CPNI.
- A customer's approval or disapproval remains in effect until the customer revokes or limits such approval or disapproval.
- Records of approvals are maintained for at least one year.
- IP Retail provides individual notice to customers when soliciting approval to use, disclose or permit access to CPNI.
- The CPNI notices sent by IP Retail comply with FCC Rule 64.2008(c).

IP Retail will also establish a supervisory review process regarding compliance with the CPNI rules for outbound marketing situations and will maintain compliance records for at least one (1) year.

#### *FCC Notification*

The Company is prepared to provide written notice within five (5) business days to the FCC of any instance where the opt-in mechanisms do not work properly or to such a degree that consumers' inability to opt-in is more than an anomaly.

#### **Third Party Use of CPNI**

Prior to allowing access to customers' individually identifiable CPNI to joint venturers or independent contractors, to safeguard CPNI IP Retail requires all such third parties to enter into a confidentiality agreement that ensure compliance with this Policy Statement and IP Retail shall also obtain opt-in consent for a customer prior to disclosing the information to such third parties. In addition, IP Retail requires all outside agents to acknowledge and certify that they may only use CPNI for the purpose for which that information has been provided.

IP Retail requires express written authorization from the customer prior to dispensing CPNI to new carriers, except as otherwise required by law.

IP Retail does not market or sell CPNI information to any third party.

#### **Law Enforcement Notification of Unauthorized Disclosure**

If an unauthorized disclosure of CPNI occurs, IP Retail shall provide notification of the breach within seven (7) days to the United States Secret Service ("USSS") and the Federal Bureau of Investigation ("FBI").

IP Retail shall wait an additional seven (7) days from its government notice prior to notifying the affected customers of the breach.

Notwithstanding the above, IP Retail shall not wait the additional seven (7) days to notify its customers if the Company determines there is an immediate risk of irreparable harm to the customers. IP Retail shall cooperate with the relevant law enforcement agency to minimize any adverse effects of such customer notification.

IP Retail shall maintain records of discovered breaches for a period of at least two (2) years.

#### **Annual CPNI Certification**

Pursuant to FCC regulations, 47 C.F.R. § 64.20089(e), IP Retail will annually submit to the FCC, prior to March 1st, a CPNI Certification of Compliance and accompanying Statement regarding IP Retail's CPNI policies and operating procedures. These documents certify that IP Retail complied with federal laws and FCC regulations regarding the protection of CPNI throughout the prior calendar year.